

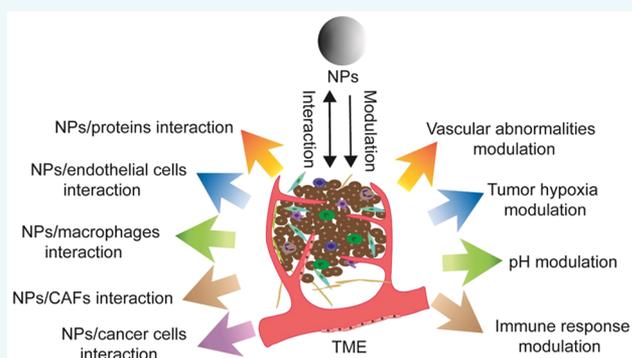
# Nanoparticle Interactions with the Tumor Microenvironment

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**ABSTRACT:** Compared to normal tissues, the tumor microenvironment (TME) has a number of aberrant characteristics including hypoxia, acidosis, and vascular abnormalities. Many researchers have sought to exploit these anomalous features of the TME to develop anticancer therapies, and several nanoparticle-based cancer therapeutics have resulted. In this Review, we discuss the composition and pathophysiology of the TME, introduce nanoparticles (NPs) used in cancer therapy, and address the interaction between the TME and NPs. Finally, we outline both the potential problems that affect TME-based nanotherapy and potential strategies to overcome these challenges.



Cancer remains a leading cause of death worldwide, despite significant ongoing efforts to develop effective treatments. Nanotechnology is increasingly a focus of investigations in the biomedical arena, with the intent of improving diagnostic and therapeutic interventions for many disease states, including cancer. Many nanomaterials, with differing compositions, shapes, sizes, and functions, have been developed<sup>1–5</sup> and have demonstrated value in drug delivery,<sup>6,7</sup> imaging,<sup>8,9</sup> vaccine development,<sup>10,11</sup> and diagnostics,<sup>9</sup> and as therapeutic agents.<sup>12</sup> To date, several nanomaterials, including liposomes and albumin-based polymers, have been approved by the relevant regulatory authorities in numerous countries, including the US and European nations, for the treatment of cancer;<sup>13</sup> and many other nanotechnology-based therapeutic agents are currently under clinical investigation.<sup>14</sup>

The unique physical and chemical properties of nanomaterials account for the interest in them as potential components of anticancer therapies. One such property of some nanomaterials is strong near-infrared absorbance, which has been exploited to develop photothermal agents for the treatment of cancer. Nanomaterials with potential as photothermal agents include gold-based nanostructures (nanorods and nanorings),<sup>15,16</sup> rhodium NPs,<sup>17</sup> polymers,<sup>18,19</sup> carbon-based nanomaterials (carbon nanotube and graphene nanocomposites),<sup>20,21</sup> CuS particles,<sup>22</sup> and even some organic NPs.<sup>23–25</sup> Some up-conversion nanomaterials are capable of converting near-infrared excitation into visible or ultraviolet light, for example, lanthanide-doped up-conversion NPs,<sup>26,27</sup> a characteristic that can be exploited in deep-tissue bioimaging and nanomedicine. It is not only the inherent function of nanomaterials themselves that can be employed in cancer treatment, but due to their high surface-to-volume ratio, nanomaterials can also serve as excellent drug-delivery

vehicles.<sup>7</sup> Moreover, modified nanomaterials and conjugation of nanomaterials with multiple other reagents makes them invaluable candidates in biomedicine.<sup>28,29</sup>

A major barrier to successful tumor reduction with chemotherapy is insufficient drug delivery to the tumor.<sup>30</sup> Compared to conventional drug delivery, nanomedicines preferably accumulate at the tumor area due to the enhanced permeability and retention (EPR) effect of the tumor.<sup>31</sup> Besides passive nanomaterial-based drug delivery, nanomaterials can also function as targeting agents in either of two ways.<sup>32</sup> The first type of targeted delivery is by loading the NP with targeting agents, e.g., siRNA,<sup>33,34</sup> or vascular endothelial growth factor (VEGF) and human epidermal growth factor receptor 2 (HER2) antibodies,<sup>35,36</sup> which allow nanomaterials to function as directed drug carriers. This approach has yielded promising results for cancer therapy and diagnosis in both in vitro and in vivo experiments.<sup>37</sup> The second approach takes advantage of the unique characteristics of the TME, i.e., hypoxia, acidosis, and vascular abnormalities,<sup>38–40</sup> and entails utilizing nanomaterials to modulate the pathophysiology of the TME.

However, as is the case with the systemic delivery of traditional drugs, nanomaterials experience several biological barriers before they reach the tumor, all of which could potentially affect drug delivery. These interactions include NP–protein interaction, blood circulation induced shear forces, and interactions with the perivascular TME.<sup>13</sup> In order to ameliorate the potential loss of effective compound

Received: June 26, 2019

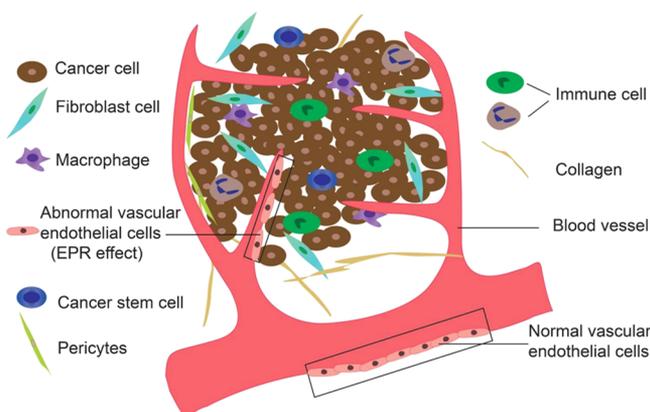
Revised: August 8, 2019

Published: August 13, 2019

during the process of delivery, several modification strategies exist to enhance the penetration and stability of nanomedicines. Such modifications may include NP surface coating with one of the following chemistries: poly(ethylene glycol) (PEG), poly(2-alkyl-2-oxazolines), polysarcosine, poly(vinyl alcohol), other hydroxyl-containing nonionic water-soluble polymers, zwitterionic polymers (polybetaines), and mucolytic enzymes.<sup>28,41,42</sup> This Review aims to illustrate the interactions between nanomaterials and the TME and the impact of these interactions on cancer nanomedicine.

## ■ THE TUMOR MICROENVIRONMENT

The TME, also called the stroma, is a complex tissue comprising several cell types, including vascular endothelial cells, cancer-associated fibroblasts, immune cells, cancer stem cells (CSCs), and pericytes, as well as noncell components, such as the extracellular matrix (ECM) and secreted extracellular molecules (Figure 1).<sup>43</sup> Tumors preferably

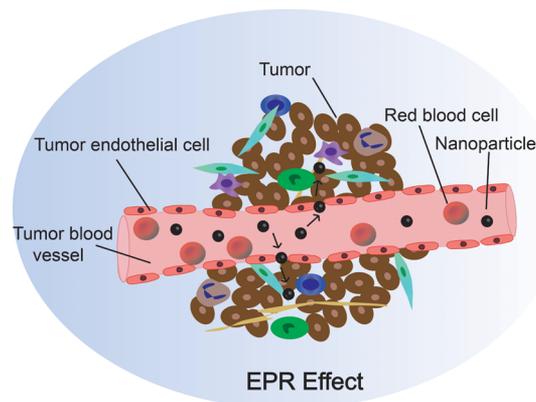


**Figure 1.** Schematic of the TME. Several components comprise the TME; these include cancer cells, fibroblast cells, macrophages, cancer stem cells, endothelial cells, immune cells, pericytes, and noncell components, such as the extracellular matrix and secreted extracellular molecules.

metastasize to a second location in the body with a similar environment to the original tumor site. This is the “seed and soil” theory proposed by Stephen Paget a century ago,<sup>44</sup> which emphasizes the importance of the TME in determining the development and progression of the tumor. In the past 100 years, there have been significant research efforts dedicated to understanding the interactions between cancers and their surrounding TME. Here, we discuss the various components of the TME.

**Tumor Endothelial Cells.** Blood vessels play important roles in the metabolism; they transport nutrients to distant organs and remove waste products. The circulatory system of tumors differs markedly from that of healthy tissues. Unlike the hierarchical branching pattern of arteries, arterioles, and capillaries or veins, venuoles, and capillaries, blood vessels in the tumor area are unorganized.<sup>45</sup> In addition, tumor endothelial cells (TECs) do not form regular monolayers and have irregular shapes and sizes not seen in normal blood vessels.<sup>46</sup> Moreover, there are often gaps between adjacent TECs, which result in hemorrhage and plasma leakage. Because of the rapid growth of many tumors, most tumor cells are a significant distance from any blood vessels. This distance from blood vessels restricts the oxygen supply, leading

to hypoxia in the tumor. Hypoxia in turn induces overexpression of VEGF (also known as vascular permeability factor), which leads to vessel hyper-permeability and high interstitial fluid pressure. This phenomenon is well studied and is known as the “enhanced permeability and retention” (EPR) effect<sup>47</sup> (Figure 2). The abnormal morphology of the tumor



**Figure 2.** Schematic of the EPR effect. The abnormal morphology of the vasculature and enhanced permeability and retention (EPR) effect enable NPs to easily permeate tumor blood vessels and reach the tumor site.

vasculature enables tumor cells to easily penetrate the blood vessels, leading to metastasis. This property of tumor blood vessels does however have the beneficial characteristic of allowing NPs to accumulate in the tumor tissue. For example, NPs 10–100 nm in size and with hydrophilic surfaces benefit in particular from the EPR effect, which may be attributed to their prolonged circulation time and decreased clearance by the kidney/liver.<sup>48,49</sup> In addition, most tumors secrete higher levels of vascular permeability factors, such as Cyclooxygenase-2 (Cox-2),<sup>50</sup> bradykinin,<sup>51</sup> nitric oxide (NO),<sup>52</sup> and peroxynitrite (ONOO<sup>-</sup>),<sup>53</sup> which can be exploited for use in active-targeting nanotherapy. Based on the advantageous EPR effect and the overexpressed molecular targets, both passive- and active-targeting nanomaterials have been developed.<sup>54–56</sup>

**Cancer Associated Fibroblasts.** Cancer associated fibroblasts (CAFs) are the major cellular component of the TME and the main source of collagen-producing cells.<sup>57</sup> In contrast to the quiescent fibroblasts in normal tissues, CAFs are activated and proliferate robustly.<sup>58</sup> CAFs express, and can be identified by, several markers, including fibroblast-specific protein 1 (FSP1), vimentin,  $\alpha$  smooth muscle actin, fibroblast activation protein (FAP), platelet derived growth factor receptor- $\alpha$  (PDGFR $\alpha$ ), PDGFR $\beta$ , desmin, and discoidin-domain-containing receptor 2.<sup>59</sup> CAFs may enhance tumorigenesis, metastasis, and invasion of cancer cells by releasing growth factors and cytokines into the circulation.<sup>60,61</sup> Moreover, CAFs reportedly promote the immunosuppressive environment of the TME and confer resistance to anticancer drugs on cancer cells.<sup>62–64</sup> Li et al. encapsulated the photosensitizer ZnF<sub>16</sub>Pc into a ferritin nanocage conjugated with a sequence specific to the FAP. The complex targeted CAFs, mediated efficient and selective photodynamic therapy (PDT), and resulted in the elimination of the tumor.<sup>65</sup>

**Cancer Stem Cells.** Whether cancer stem cells (CSCs) exist remains an area of active debate in the cancer biology field. However, an increasing number of publications demonstrate the existence of CSCs by identifying their cell

surface markers, i.e., CD133, CD44, and aldehyde dehydrogenase (ALDH), among others, in different cancer stroma.<sup>66,67</sup> The CSC theory declares that they represent a class of cancer cells with unlimited potential for cell division and an ability to repopulate the whole tumor. These characteristics of CSCs would, thus, explain the recurrence of tumors at either the original site or a distant area, even after successful chemotherapy and/or radiation therapy (RT).<sup>68</sup> As the “root” of cancer, the potential of CSC targeting therapy has attracted great attention.<sup>67,69</sup>

**Tumor Associated Immune Cells.** The immune cells that infiltrate the tumor area are termed tumor-infiltrating lymphocytes (TIL), and these include T cells, B cells, and Natural Killer (NK) cells. The roles that TIL play in cancer are diverse and can be both beneficial and deleterious. On one hand, some CD4+ derived T cells play a prominent antitumor role by inhibiting new blood vessel formation (Th1),<sup>70</sup> promoting eosinophil recruitment in a manner dependent on IL-4 and IL-13 (Th2),<sup>71</sup> or recruiting CD8+ T and NK cells into tumors (Th1 and Th17).<sup>72–74</sup> CD8+ T cells have also been associated with tumor diminishment.<sup>75</sup> On the other hand, forkhead box p3 (Foxp3) expressing CD4+ T cells (Treg cells) suppress the immune response and contribute to tumor cells’ immune escape.<sup>73</sup> Developing molecular inhibitors of Treg cells, either chemical inhibitors such as cyclophosphamide or targeting reagents, like anti-GITC (glucocorticoid-induced TNF receptor) antibodies,<sup>76</sup> represents a promising approach in immunotherapy. Macrophages and B cells also have a dual supportive and inhibitory influence on cancer, depending on the stage of the disease and the tissue involved.<sup>77</sup> Dendritic cells (DCs) have the greatest potential to present antigens for activating antitumor T-cell responses, and as such, they offer a unique opportunity for specific targeting of tumors.<sup>78</sup>

**Extracellular Matrix.** The extracellular matrix (ECM) provides structural and biochemical support to cells; it is a collection of extracellular molecules secreted by support cells. The cancer-associated ECM often displays an altered organization and enhanced post-translational modifications of ECM proteins and characteristically expresses matrix-remodeling genes such as matrix metalloproteinases (MMPs) and collagen cross-linkers.<sup>79,80</sup> The cancer-associated ECM enhances tumor cell progression by evading growth suppressors, resisting cell death, inducing angiogenesis, and activating invasion and metastasis.<sup>81,82</sup>

**Pericytes.** The currently accepted definition of mature pericytes is cells embedded within the vascular basement membrane. Low pericyte coverage may trigger metastasis and correlates with poor prognosis,<sup>83</sup> while high pericyte coverage is associated with cancers that are the most aggressive and refractory to therapy.<sup>84</sup> Pericyte recruitment into tumor blood vessels is mediated by PDGFR $\beta$  signaling during angiogenesis, stromal cell derived factor-1, and matrix-metalloproteinase-mediated ECM degradation.<sup>85–87</sup>

## ■ NANOPARTICLE-BASED MODULATION OF THE TME

As mentioned above, the TME is quite different from the microenvironment of normal tissues. The differences include vascular abnormalities, hypoxia, pH, and the immune response. Based on the specific characteristics of the TME, many NPs have been developed in order to diminish the tumor by adjusting the TME.

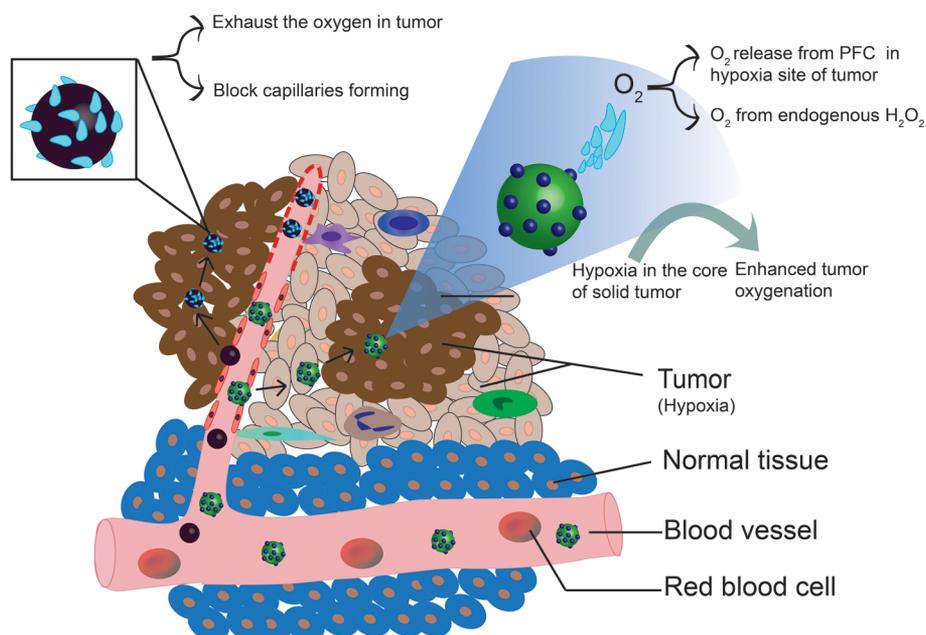
**Vascular Abnormalities.** The abnormalities of the tumor vasculature make it possible for both passive targeting based NPs and active targeting NPs that engage overexpressed molecules, such as VEGF, integrin  $\alpha v \beta 3$ , and vascular cell adhesion molecule-1 to enter the TME.

**EPR Effect Based Passive Targeting.** Profiting from the EPR effect, NPs between 20 and 200 nm tend to accumulate at the tumor site; this accumulation occurs due to both the larger vascular endothelial pores (10–1000 nm in diameter) and the high density and permeability of the vasculature of the tumor. Moreover, due to the poor lymphatic drainage in the tumor tissue and the NPs having a large enough size to avoid renal clearance, such nanomaterials have a longer circulation time and enhanced retention in the tumor area.<sup>88,89</sup> This was first demonstrated in 1986, when the styrene maleic acid copolymer coated anticancer protein neocarzinostatin (NCS) was found to accumulate at tumor sites more readily than NCS itself; since that time, the EPR effect theory has been extensively studied and become better understood.<sup>90</sup>

It is clear that the size and shape of, as well as modifications to, nanomaterials all affect the efficiency of the EPR effect. With respect to size, Tong et al. utilized positron emission tomography and kinetic modeling to study the tumor accumulation and elimination of different-sized 64-Cu labeled gold NPs (AuNPs), and suggested that AuNPs with relatively small volume and high aspect ratio are ideal candidates for EPR mediated tumor delivery.<sup>91</sup> The circulation and biodistribution of NPs also depends on their shape and geometry. Geng et al. found that, compared with spherical particles, filomicelles circulated up to 1 week longer following intravenous injection in rodents.<sup>92</sup> Others demonstrated that nanomaterials of different shapes (i.e., quantum dots and single-walled carbon nanotubes) showed different extravasational behavior based on the EPR effect, despite having similar surface coating, area, and charge. Thus, the geometry of NPs plays a complex and potentially major role in extravasation from the vasculature to tumors.<sup>93</sup> Physical and biological barriers in the body can affect the accumulation of NPs in the tumor. The reticuloendothelial system (RES) can sequester many NPs before they reach the tumor, which not only causes a decrease in tumor accumulation, but may also damage RES-rich organs. Engineering the physicochemical properties of NPs may help minimize their RES-sequestration. NP delivery can also be impaired by interaction of the NP with the protective mucus layer on mucosal surfaces. Xu et al. showed that coating PEG onto biodegradable poly(lactic-co-glycolic acid) (PLGA) NPs leads to enhanced NP distribution throughout the mouse vagina.<sup>94</sup> Using different ratio PEG coatings, it was shown that at least 5% PEG was required to effectively shield the NP core from interacting with mucus components both in vitro and ex vivo.

While several nanomaterials have been assessed in preclinical studies, they have almost uniformly failed in the clinic.<sup>95,96</sup> This problem requires development of specific targeting approaches as discussed below.

**Active Targeting Based on Vascular Abnormalities.** Both the abnormalities of the tumor vasculature and the heterogeneity of the TME cause difficulties in cancer therapy. However, these characteristics of the tumor also mean that several receptors are overexpressed by the tumor, and these offer the opportunity to actively target the tumor. Biomarkers with upregulated expression include galectin-1, integrins, tumor endothelial markers, cell adhesion molecules, VEGF,



**Figure 3.** Modulation of hypoxia. There are two approaches to modulation of hypoxia in the TME. One approach is to exhaust the oxygen in the tumor thereby preventing the development of the tumor. Magnesium silicide ( $\text{Mg}_2\text{Si}$ ) NPs are used to exhaust the oxygen in tumor and block tumor capillaries (left); tumor growth is restricted due to lack of nutrients. The second approach involves increasing the oxygen level within the hypoxic sites of the tumor, thereby enhancing the radiation therapy (RT) efficiency. Oxygen dissolved in perfluorocarbon (PFC) was delivered to the hypoxic site to increase the oxygen level in tumor and enhance the RT efficiency (right).

selectins, cell surface nucleolin, and fibrin–fibronectin complexes among others. In combination with the size-based EPR effect, several NPs have been explored in cancer treatment using active targeting.

Galectin-1 regulates the proliferation, migration, and apoptosis of endothelial cells, and its ligand, the peptide anginex, has been successfully used as a targeting agent for cancer therapy.<sup>97</sup> Integrin  $\alpha v\beta 3$  is another endothelial cell receptor that is highly expressed in tumor-associated endothelial cells compared to normal endothelial cells: the peptide arginine-glycine-aspartate (RGD) is the specific binding motif of integrin  $\alpha v\beta 3$ .<sup>98</sup> Kluza et al. conjugated liposomes with both anginex and RGD to develop a potential tool for imaging and antiangiogenic treatment. The dual-conjugated agent greatly enhanced the number of microbubbles per cell for ultrasound imaging of tumor angiogenesis compared to either single conjugated agent.<sup>99</sup> Due to the rapid growth of tumors, angiogenesis is essential for both tumor growth and metastasis. VEGF is an important mediator of angiogenesis; targeting of the VEGF receptor (VEGFR) on endothelial cells is a viable therapeutic approach to decrease blood vessel density and thus delay tumor growth. Anti-VEGFR antibodies were conjugated to propranolol-loaded NPs which inhibited VEGF expression and were cytotoxic in infantile hemangiomas.<sup>100</sup>

**Tumor Hypoxia Modulation in the Tumor Microenvironment.** Because of the abnormally rapid growth of tumor cells, the distance from the core of the solid tumor to blood vessels is frequently beyond the diffusion range of oxygen (which is up to  $\sim 200 \mu\text{m}$ , depending on the local oxygen concentration in blood).<sup>101</sup> This leads to hypoxia in tumor tissue, with oxygen tensions around most tumor cells varying from anoxia to 7.5 mmHg, whereas the oxygen tension in normal tissues is about 30–70 mmHg.<sup>102,103</sup> Tumor hypoxia suppresses apoptosis and immune reactivity, supports

autophagy, and increases epithelial-to-mesenchymal transition, thus increasing invasiveness and metastasis.<sup>102</sup> Due to their distance from blood vessels, penetration of the hypoxic tumor cells by traditional anticancer drugs is limited, leading to less effective treatment. Significant efforts have been made in recent decades to improve tumor therapy efficiency.<sup>39,104</sup> These efforts have included two major nanomedicine-based approaches, namely, generating oxygen in the tumor and deoxygenation of tumors (Figure 3).

**Generation of Oxygen to Modulate the Tumor Microenvironment.** Radiation therapy (RT) is a widely applied cancer therapy, which employs ionizing radiation (X-ray or  $\gamma$ -ray) to induce DNA damage and thus inhibit tumor growth.<sup>105</sup> Oxygen molecules form stable organic peroxides with the broken ends of DNA and thus enhance radiation-induced DNA damage during RT. However, the hypoxic nature of most solid tumors leads to hypoxia-associated resistance during RT.<sup>106,107</sup> In order to overcome this effect of low oxygen levels on RT effectiveness, Song et al. developed TaOx@PFC–PEG nanodroplets consisting of TaOx and perfluorocarbon (PFC). The TaOx nanoparticle is an excellent X-ray absorber while PFC has high biocompatibility and readily dissolves oxygen.<sup>108,109</sup> Following injection of the TaOx@PFC–PEG nanodroplets into mice, the oxygenation level in the tumor was increased 27%, and the RT treatment efficacy was remarkably enhanced.<sup>110</sup> Other oxygen carriers that can be employed to overcome hypoxia within tumors include heme hybrids and hemoglobin-based oxygen carriers (HBOCs).<sup>111,112</sup>

Beside the exogenous supply of oxygen, another way to increase oxygen levels in the tumor is to generate oxygen in the TME. Compared with normal tissues, malignant cancer cells produce excessive amounts of  $\text{H}_2\text{O}_2$  and, thus, significantly increase  $\text{H}_2\text{O}_2$  levels in the TME.<sup>113</sup>  $\text{MnO}_2$  NPs can act as a

catalyst to generate oxygen from the  $\text{H}_2\text{O}_2$ , thereby overcoming the hypoxia-associated RT resistance.<sup>114</sup>

**Deoxygenation in Tumors to Modulate the Tumor Microenvironment.** Starvation therapy for cancer is a concept that has been studied for several years. Blood vessels constitute a complex system for delivery of nutrition and oxygen to tissues and cells, while simultaneously removing waste products. Without sufficient vasculature, tumor cells would die for lack of adequate nutrition and oxygen. Several strategies have been used to target the blood vessels, including the FDA approved drug bevacizumab which is a humanized monoclonal antibody against VEGF.<sup>115</sup> In addition to antibody targeting, other methods have been developed to deoxygenate the TME. Zhang et al. developed a system using polyvinylpyrrolidone (PVP)-modified magnesium silicide ( $\text{Mg}_2\text{Si}$ ) NP to block tumor capillaries and prevent tumors from receiving new supplies of oxygen and nutrients. In the acidic TME,  $\text{Mg}_2\text{Si}$  releases silane, which in turn reacts with oxygen in tissue or blood to form silicon oxide ( $\text{SiO}_2$ ) aggregates. The aggregates, generated in situ, block tumor capillaries and choke off the blood supply.<sup>116</sup> Liu et al. developed a nanostructure, TPZ-UC/PS, which included double silica-shelled upconversion nanoparticles (UCNPs), a photosensitizer (PS) molecule, and a bioreductive pro-drug (tirapazamine, TPZ). When treated with a 980 nm laser, the structure enhanced hypoxia, and in turn increased the bioreductive therapeutic effect of TPZ.<sup>117</sup> Based on the unique hypoxic character of solid tumors, imaging approaches have also been explored. D- $\text{Fe}_3\text{O}_4$ @PMn NP complexes were designed and applied in diagnostic imaging to produce significant contrast enhancement in T1- and T2-weighted magnetic resonance imaging (MRI).<sup>118</sup>

**pH Modulation of the TME.** It has become increasingly apparent that energy production in cancer cells differs significantly from that in healthy cells. Normal cells generate energy through mitochondrial oxidative phosphorylation, while most cancer cells produce their energy via glycolysis, which causes significant lactic acid production even in the presence of abundant oxygen. This phenomenon is called aerobic glycolysis or the Warburg effect.<sup>119</sup> Because of this increased glycolysis, the plasma membrane proton-pump activity, and the insufficient blood supply in most solid tumors, the TME is acidic. The extracellular pH of most tumors is in the range of 6.5–7.2, and intracellular endolysosomes exhibit even lower pH values of 5.0–5.5.<sup>120</sup> In contrast, the extracellular pH of normal tissue and blood is constant at 7.4.<sup>121,122</sup> Moreover, acidosis may contribute to metastatic progression by degrading the ECM, as well as increasing drug resistance.<sup>123–125</sup> Based on the acidic character of the TME, several NPs have been developed to enhance the efficacy of tumor therapy.

**pH-Sensitive Inorganic Nanosystems.** Inorganic nanosystems can be divided into two basic types. The first, such as ferromagnetic NPs, have intrinsic anticancer activity,<sup>126</sup> and ZnO nanoparticles function as the photosensitizer.<sup>127</sup> The second act as carriers which can release drugs under acidic conditions, and include  $\text{CaCO}_3$ ,<sup>128,129</sup> calcium phosphate (CaP),<sup>130,131</sup> and ZnO quantum dots (ZnO QDs).<sup>132,133</sup>

Under neutral pH, ferromagnetic NPs ( $\gamma\text{-Fe}_2\text{O}_3$  or  $\text{Fe}_3\text{O}_4$  NPs) can catalytically break down  $\text{H}_2\text{O}_2$  into nontoxic  $\text{H}_2\text{O}$  and  $\text{O}_2$ . In contrast, under acidic conditions, they disproportionately generate highly toxic reactive oxygen species (ROS)—hydroxyl radicals ( $\cdot\text{OH}$ ) from  $\text{H}_2\text{O}_2$ .<sup>134,135</sup> This peroxidase-like activity under acidic conditions makes ferromagnetic NPs a good candidate for use in cancer therapy.

Huo et al. developed large pore-sized biodegradable dendritic silica NPs into which both glucose oxidase (GOX) and  $\text{Fe}_3\text{O}_4$  NPs were loaded. GOD served to generate abundant  $\text{H}_2\text{O}_2$  from the breakdown of glucose. Then, in the acidic TME, the elevated  $\text{H}_2\text{O}_2$  is acted on by the  $\text{Fe}_3\text{O}_4$  NPs to liberate highly toxic hydroxyl radicals, which induce apoptosis and tumor death.<sup>126</sup> Oleylamine-capped  $\text{FeS}_2$  nanocubes were also explored for the catalytic breakdown of overproduced  $\text{H}_2\text{O}_2$  into ( $\cdot\text{OH}$ ). The valence change of the ferrous ions during the self-oxidation can be leveraged to report the  $\text{H}_2\text{O}_2$  levels in the tumor area, via self-enhanced MRI. Photothermal treatment also accelerates the Fenton reactivity for a synergistic photothermal therapy/chemodynamic therapy (PTT/CDT).<sup>136,137</sup> Zhang et al. produced a core-shell CeIII-doped  $\text{LiYF}_4$ @ $\text{SiO}_2$ @ZnO (SCNP@ $\text{SiO}_2$ @ZnO-PEG) structure, which enabled simultaneous radiotherapy and depth-insensitive PDT. SCNP seeds were excited by the radiation and emitted low energy photons that match the bandgap of ZnO nanoparticles. The subsequent excitons formed the electron hole ( $e^- - h^+$ ), and interact with  $\text{H}_2\text{O}$  and  $\text{O}_2$  to form free radicals ( $\cdot\text{OH}$ ) and ( $\cdot\text{O}_2$ ). The therapy efficiency of this radiation-induced type I PDT was greatly enhanced, due to the diminished oxygen dependence.<sup>127</sup>

$\text{CaCO}_3$  is an excellent inorganic drug carrier, which, in the acidic TME, breaks down to  $\text{Ca}^{2+}$  and  $\text{CO}_2$ , and simultaneously releases its payload, which can include anticancer drugs such as doxorubicin (DOX),<sup>138</sup> photosensitizers like chlorin e6 (Ce6),<sup>139</sup> or small interfering RNAs (siRNA).<sup>140</sup> CaP is nontoxic, biocompatible, and degradable, all of which make it attractive as a drug carrier.<sup>130</sup> ZnO QDs are another good drug carrier for cancer therapy due to their low toxicity and their rapid dissolution to  $\text{Zn}^{2+}$  in an acidic environment.<sup>141</sup> Cai et al. demonstrated that pH-sensitive ZnO QDs dissolved to  $\text{Zn}^{2+}$  in acidic endosomes or lysosomes after uptake by cancer cells, triggering the release of the carried DOX.<sup>132</sup>

**pH-Sensitive Polymers.** Polymers have been developed and designed as “smart” drug carriers for targeted cancer therapy, since their properties change in different environments. Several polymer NPs have been developed using anionic or cationic polymers, such as poly(acrylic acid) (PAA), poly(methacrylic acid) (PMAA), poly(ethylacrylic acid) (PEAA), poly(propylacrylic acid) (PPAA), poly(butylacrylic acid) (PBAA), *N*-isopropylacrylamide (NIPAM), poly(glutamic acid) (PGA), and poly(*N,N'*-dimethylaminoethyl methacrylate) (PDEAEM), poly( $\beta$ -amino ester) (PbAE), and poly(4-vinylpyridine) (PVP).<sup>142</sup> For applications in cancer treatment, pH-sensitive polymers are usually covalently linked to the antitumor drug via pH-labile bonds such as imines, hydrazones, boronate monoesters, and coordination amine-*ca*tion complexes.<sup>143</sup> Under physiological conditions, such linkages are stable, while in acidic environments (pH  $\approx$  4.5–6.5), they tend to hydrolyze to release the drug. Yang et al. utilized amphiphilic triblock copolymers to self-assemble into stable vesicles in aqueous solution; long PEG segments formed the outer hydrophilic PEG layers of the vesicles, while the short PEG segments constituted the inner hydrophilic PEG layer of the complex. The antitumor drug DOX was conjugated to the hydrophobic membrane via a pH-sensitive hydrazone bond to achieve pH-responsive drug release.<sup>144</sup> PMAA is an established pH-responsive polymer which exhibits distinct volume enlargement when the pH value is higher than the acid dissociation constant of the ionizable groups ( $\sim$ 4.25).<sup>145</sup> As the PMAA content increases, so the equilibrium swelling ratios

increase.<sup>146</sup> PMAA has been used to coat fluorescent YVO<sub>4</sub>:Eu cores for cell imaging based on the evaluation of pH,<sup>147</sup> enabling the complex to have little toxicity along with enhanced cellular uptake.

**Immune Response Modulation in the Tumor Micro-environment.** Tumor-associated macrophages (TAMs), myeloid-derived suppressor cells (MDSCs), and Tregs are the three main immune cells in the TME. They each play a critical role in enhancing tumor cell invasion and metastasis, promoting angiogenesis and ECM remodeling, and inhibiting antitumor immune surveillance. Elimination or reprogramming of the immune suppressive TME is a major challenge in the immunotherapy of cancer.<sup>148</sup>

**Targeting Macrophages.** Ideally, macrophages would diminish tumors through their normal function; however, with the immune editing of the tumor, increasing evidence demonstrates that TAMs promote cancer progression and enhance tumor growth, and that TAMs are a poor prognostic factor in several tumor types.<sup>149,150</sup> For this reason, TAMs are an attractive target for anticancer therapy. There are two major TAM subgroups: M1 and M2 TAMs. The tumor-promoting effects of TAMs are mediated primarily by M2, while M1 TAMs retain their antitumorigenic properties.<sup>150</sup> Thus, there are two strategies for improved therapy using therapeutic NPs: the first is to convert M2 to M1 TAMs to stimulate antitumor immunity, and the second is to eradicate M2 TAMs. TAMs residing in hypoxic regions of tumors were demonstrated to promote proliferation and increase chemoresistance. Song et al. utilized hyaluronic acid (HA) to modify MnO<sub>2</sub> NPs, thereby reprogramming the anti-inflammatory, pro-tumoral M2 TAMs to pro-inflammatory, antitumor M1 TAMs. This further enhanced the ability of MnO<sub>2</sub> NPs to both reduce tumor hypoxia and modulate chemoresistance.<sup>151</sup> Since M2 TAMs highly express the mannose receptor, Zhu et al. developed a PEG-sheddable, mannose-modified nanoparticle platform to target M2 TAMs. Sheddable PEG was conjugated to mannose-modified PLGA NPs via an acid-sensitive linker. In the acidic TME, acid-sensitive PEG was shed to expose PEG and mannose conjugated PLGA, allowing the particles to be internalized by the M2 TAMs, while uptake by normal macrophages in the mononuclear phagocyte system (MPS) organs was prevented because of their neutral pH.<sup>152</sup>

Ferumoxytol, a supplement approved by the FDA to treat iron deficiency disease, was recently found to promote macrophages to pro-inflammatory Th1-type responses, and in this way to significantly inhibit the growth of subcutaneous adenocarcinomas in mice.<sup>153</sup> The liver is an organ in which most antitumor drugs accumulate. Liver-derived M2 macrophages preferentially take up NPs compared to M1 macrophages. At the same time, primary Kupffer cells, which express higher levels of M2 markers (CD163), take up more NPs than cells expressing lower levels of surface CD163. These findings suggest that targeting macrophages or Kupffer cells might be a novel approach to enhance tumor therapy by modifying the hepatic microenvironment.<sup>154</sup>

**Targeting Myeloid-Derived Suppressor Cells.** Myeloid cells derive from hematopoietic stem cells in bone marrow and are destined to differentiate into macrophages and other cells. However, in many pathological conditions, including cancer, differentiation is partially blocked resulting in the accumulation of immature myeloid cells in the TME; these accumulating cells are called MDSCs. MDSCs upregulate immunosuppressive factors and thus suppress T cell functions.<sup>16,155</sup> Thus,

either enhancing differentiation of myeloid cells into mature immune cells or diminishing the accumulation of MDSCs provide two potentially significant approaches to treat cancer. All-trans retinoic acid (ATRA) is able to differentiate MDSCs into mature DCs, macrophages, or granulocytes, thus improving the tumor-specific immune response.<sup>156</sup> Kong et al. constructed lipid-coated biodegradable hollow mesoporous silica NPs (dHMLB) with coencapsulation of ATRA, DOX, and interleukin-2 (IL-2) for chemo-immunotherapy.<sup>157</sup> In the tumors of mice treated with the complex, the MDSCs decreased 2.4-fold, while mature DCs increased 14.3-fold compared with the controls. Another research group developed lipid nanocapsules (LNCs) which were loaded with a lauroyl-modified form of gemcitabine (GemC12), to target the monocytic (M-) MDSC subset in melanoma-bearing mice. The LNCs were preferentially taken up by monocytic cells rather than by other immune cells. Moreover, tumor-associated immunosuppression was reduced in tumor-bearing mice administered a very low dose of GemC12-loaded LNCs.<sup>158</sup>

**Targeting Tregs.** Regulatory T cells (Tregs) are a subpopulation of T cells that maintain tolerance to self-antigens, thus preventing autoimmune disease. They are immunosuppressive and down-regulate both T cell proliferation and cytokine production. A large number of studies in both humans and animal models have shown that high numbers of Tregs in the TME correlate with poor prognosis and enhanced tumor malignancy.<sup>72,159</sup> It is thought that Tregs suppress the immune response in tumors; thus, a reduction of Tregs in the TME should reverse their immunosuppressive effects and improve outcomes in cancer treatment. To date, there are four known Treg enriched markers, namely, glucocorticoid-induced TNFR-related receptor (GITR), folate receptor 4 (FR4), CD39, and CD103.<sup>160</sup> GITR is highly expressed in tumor Tregs compared to peripheral Tregs. GITR antibodies were coated layer-by-layer on hybrid NPs in order to target Tregs within tumors; in combination with IR-780 dye, this targeting photothermal therapy successfully reduced the suppressive function of Treg cells and eradicated tumor growth in vivo.<sup>161</sup> Neuropilin-1 (Nrp1) is expressed on the majority of Tregs, but on relatively few T effector cells; the expression of Nrp1 is highly associated with Treg cell-specific Foxp3+ expression.<sup>162</sup> Moreover, the peptide tLyp1 peptide was identified as a substrate with high affinity and specificity for Nrp1.<sup>163</sup> Ou et al. constructed tLyp1 peptide-conjugated hybrid NPs for targeting Treg cells in the TME. The complex down-regulated Treg cell suppression through inhibiting signal transducer and activator of transcription 3 (STAT3) and signal transducer and activator of transcription 5 (STAT5) phosphorylation. Furthermore, reduced intratumoral Treg cells, enhanced tumor inhibition, and elevated intratumoral CD8+T cells active against the tumor were observed in in vivo assays.<sup>164</sup> Thus, the proposed Treg targeting therapy provides an effective approach to cancer therapy.

**Blocking the Adaptation of Tumor Cells to the TME.** In order to adapt to the hypoxic, acidic, and low-nutrient TME, tumor cells reprogram their main metabolic pattern from mitochondrial oxidative phosphorylation (OXPHOS) to aerobic glycolysis. Thus, one strategy to diminish the tumor is to block aerobic glycolysis. Several studies have used a specific glycolysis inhibitor to block this adaptation; inhibitors used include 3-bromopyruvate (3-BP)<sup>165</sup> and dichloroacetate (DCA).<sup>166</sup> Zhang et al. developed tumor vascular endothelium-targeted liposomal NPs (T-Lipo-3-BP) as a controlled

release system and successfully suppressed tumor growth in mice.<sup>165</sup> Similarly, mito-DCA was developed to target the mitochondria, leading to a metabolic switch from glycolysis to glucose oxidation and resulting in cell death via apoptosis.<sup>166</sup>

In the TME, macrophages tend to be M2 polarized and exhibit up-regulated mitochondrial OXPHOS, fatty acid synthesis, and  $\beta$ -oxidation. In contrast, M1 macrophages predominantly use glycolysis to generate ATP.<sup>167</sup> Exposure of macrophages to silk, PLGA, and silica NPs caused greater glucose consumption and lactate production, suggesting increased glycolytic activity; this metabolic profile is consistent with the proinflammatory M1-like phenotype, and demonstrates the potential of NPs to block adaptation of the macrophages to the TME.<sup>168</sup>

**Combined Strategies to Modulate the Tumor Microenvironment.** Cancer is a complicated and persistent disease, and it is very difficult to eradicate. Thus, it may be advantageous to utilize multiple characteristics of the tumor to develop combined therapies in order to attack the cancer on multiple fronts. Yang et al. developed a biodegradable hollow manganese dioxide (H-MnO<sub>2</sub>) nanoplatfrom to do just this. They incorporated H-MnO<sub>2</sub> nanoshells as the drug carrier and oxygen generator. The nanoshells were coated with PEG to stabilize the complex, and the photosensitizer chlorine e6 (Ce6) and anticancer drug DOX were incorporated.<sup>169</sup> MnO<sub>2</sub> degrades in the acidic pH of the TME, releasing the Mn<sup>2+</sup>, and the Ce6 and DOX loaded in the nanoshell. In addition, MnO<sub>2</sub> can function as a catalyst or to degrade the endogenous H<sub>2</sub>O<sub>2</sub> and produce oxygen in the tumor. The oxygen not only relieves the hypoxia of the tumor, but also contributes to the PDT induced by Ce6 under specific wavelength light activation; ROS produced by the PDT also leads to the death of the cancer cells.<sup>170</sup> The nanoshell complex also triggered a series of antitumor immune responses. The combined therapy greatly inhibited tumor growth compared with the control group. Thus, this composition utilized the characteristic hypoxia and acidity of the TME combined with chemotherapy and PDT to achieve an enhanced therapeutic response.

Bi et al. also constructed a multifunctional nanoplatfrom designed to target cancer via PDT, delivery of a platinum drug, and the Fenton reaction. Their upconversion NPs (UCNPs-Pt(IV)-ZnFe<sub>2</sub>O<sub>4</sub>) enhanced therapeutic response in both in vitro and in vivo models, and by virtue of the inherent upconversion luminescence, served as a viable imaging contrast agent for multiple imaging modalities.<sup>171</sup>

## ■ THE INTERACTIONS BETWEEN THE TUMOR MICROENVIRONMENT AND NANOPARTICLES

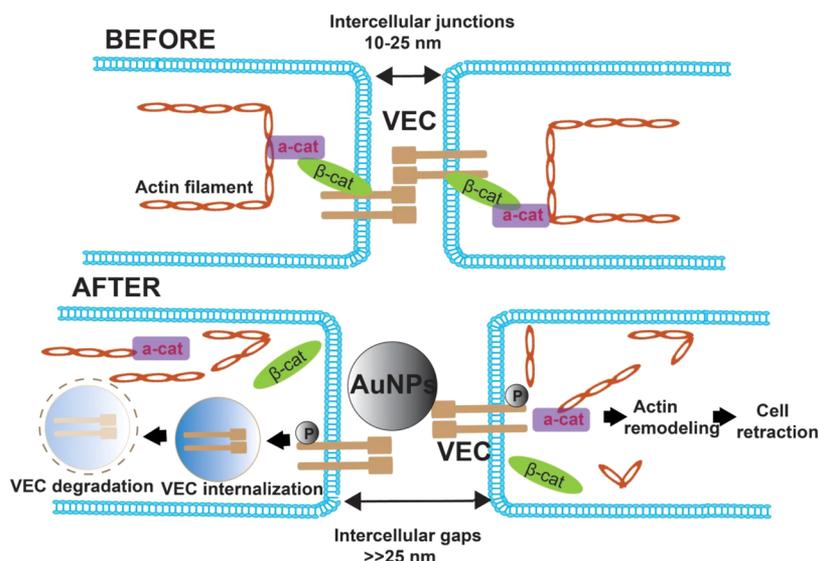
Alongside the increasing application of nanomaterials in cancer treatment, there has been a focus on understanding the biological effects of functionalized NPs on a subcellular level, including the NP/protein interaction, the NP/TME interaction, and the consequent effects on cellular pathways.

**The Interactions of Nanoparticles with Proteins.** The first change after nanomaterials enter a biological system is the formation of the so-called “corona”. The surface of the nanoparticle is quickly covered with multiple biomolecules, mostly proteins, immediately after the nanoparticle enters into the biological systems (cells, tissues, biofluids), resulting in the formation of the “protein corona”.<sup>172</sup> One theory of the “corona” is that it exists in two distinct parts. The first is called the “hard corona” in which have proteins adsorb with high

affinity to NPs and interact directly with the nanomaterial surface. The second part is termed the “soft corona” and in which proteins interact with the hard corona via weak protein–protein interactions and can be readily replaced by other high affinity proteins.<sup>173,174</sup> This protein corona greatly changes the physicochemical properties of NPs, including the size, zeta potential, and stability. As a consequence, the biological properties and technical identities of the original nanoparticle are critically affected; changes occur in terms of cellular uptake, biological targeting, biodistribution, and toxicity.<sup>175</sup> Studies show greater cellular uptake by immune cells of pure NPs compared to protein corona coated NPs.<sup>176</sup> Moreover, ligand-based targeted delivery systems can lose their targeting ability due to the corona formation. The Dawson group found that even though transferrin conjugated SiO<sub>2</sub> NPs continued to enter cells, their targeting specificity was lost for both binding to targeted receptors on cells or soluble transferrin receptors.<sup>177</sup>

However, the corona also offers potential benefits. A direct benefit is in blocking the function of proteins that enhance tumor progression, and then modulating the TME. One example is the research from the Wu group. Transforming growth factor-beta 1 (TGF- $\beta$ 1) is known to be an immunosuppressive agent that attenuates immune responses and results in tumor growth. Thirteen nanometer AuNPs predominantly bound to TGF- $\beta$ 1 through S–Au bonds and thereby destroyed the structure of the growth factor. In vivo experiments showed that in the TGF- $\beta$ 1-secreting murine bladder tumor 2 cells bearing syngeneic C<sub>3</sub>H/HeN mice, the addition of AuNPs blunt the growth of tumor; however, this was not the case in immunocompromised NOD-SCID mice. The discovery suggests that AuNPs may modulate tumor immunity through inhibiting immunosuppressive TGF- $\beta$ 1 signaling.<sup>178</sup> Another example comes from our own work. We have previously proved that by incubating pure 20 nm AuNPs with the conditioned medium (CM) from the pancreatic cancer cell line Asp1, the levels of Dipeptidyl Peptidase IV (DPPIV/CD26), Thrombospondin 1 (THBS1), CXCL16, Coagulation Factor III (F3), and Serpin Family E Member 1 (SerpinE1) were notably decreased (>50% decrease,  $p \leq 0.05$ ) compared with the original secretion.<sup>12</sup> In addition, following treatment of the AuNPs, Inositol-requiring enzyme-1a (IRE1a) was greatly increased in the CM of Asp1. These data suggest that AuNPs dramatically affect the secretory profile of pancreatic cancer cells (PCCs) and then consequently affect the expression of a large number of other secreted factors, which leads to the endoplasmic reticulum (ER) stress signaling. Moreover, AuNPs rendered ovarian cancer cell lines more sensitive to cisplatin by blunting drug resistance, reversing the epithelial–mesenchymal transition (EMT) effect and stemness induced by cisplatin, and inhibiting cisplatin-induced Akt/NF- $\kappa$ B. signaling.<sup>179</sup>

An elegant way to exploit corona formation is to design the NP surface to interact with specific plasma proteins that enhance NP delivery to certain organs or initiate targeted receptor-mediated cellular binding. For example, apolipoprotein was recently shown to be essential for siRNA lipoplexes to target hepatocytes in vivo.<sup>180</sup> Retinol-conjugated polyetherimine (RcP) NPs specifically accumulated retinol binding protein 4 (RBP) in the corona and directed NPs to hepatic stellate cells (HSC), thereby alleviating hepatic fibrosis.<sup>181</sup> Moreover, utilizing the corona to mitigate NP toxicity, to identify therapeutic targets, to manipulate NP pharmacoki-



**Figure 4.** NPs induce endothelial leakiness. BEFORE: the paracellular route on the microvascular barrier is mediated by VE-cadherin, which associated with the cadherin-catenin-actin complex. AFTER: Gold NPs bind VE-cadherin, causing VE-cadherin internalization and degradation. As a result, the cadherin-catenin-actin complex disintegrates, and the actin framework is remodeled, which leads to an enlarged gap between endothelial cells. In turn, leakiness between endothelial cells is enhanced. VEC: VE-cadherin,  $\beta$ -cat:  $\beta$ -catenin,  $\alpha$ -cat:  $\alpha$ -catenin. This figure is conceptually adapted from Figure 6 in ref 185.

netics, and to increase the drug payload capacity has recently theoretically proposed.<sup>182</sup> Though some aspects of the corona are understood, some basic mechanisms such as the reversibility and displacement of the corona remain obscure. Chen et al. found that the adsorbed proteins in the corona formed on superparamagnetic iron oxide (SPIO) nanoworms in plasma were rapidly lost in vivo.<sup>183</sup> This demonstrates that many of the mechanisms of corona development require further study, especially as they pertain to the in vivo system.

**The Interactions between Nanoparticles and Endothelial Cells.** *Nanoparticles Decrease Endothelial Barriers.* Endothelial cells are the first barrier before NPs reach the tumor. There are two main approaches to overcoming the endothelial barrier. The first strategy to conquer the endothelial barrier is to utilize the transcellular transportation systems. In order to increase the transportation efficiency, NPs can be coated with moieties that recognize specific surface receptors on endothelial cells, such as lung endothelial cell adhesion-1 molecule, glucose transporters, and the transferrin receptor.<sup>184</sup> This strategy allows internalization and transcellular transport of the nanoparticle.

The second strategy to decrease the endothelial barrier is to use the paracellular route, i.e., through the gaps between endothelial cells. As mentioned above, the EPR effect enables NPs of specific sizes to go through the wider gaps between the endothelial cells within the TME. Aside from that specific case, in order for nanomedicine to exploit the paracellular route in broader locations than the TME, there are studies showing that NPs with specific characteristics are able to broaden the gaps between endothelial cells to micrometers. This effect is called “nanoparticle induced endothelial leakiness” (NanoEL) (Figure 4).<sup>185</sup> The Leong group has elucidated how unmodified 22.5 nm  $\text{TiO}_2$  nanomaterials ( $\text{TiO}_2$ -NM) cause NanoEL;  $\text{TiO}_2$ -NM bind VE-cadherin that functions in adherent junctions in endothelial cells. The binding disrupts the VE-cadherin homophilic interaction, and then phosphorylates VE-cadherin causing the loss of interaction of VE-cadherin with both  $\beta$ -catenin and p120. The VE-cadherin- $\beta$ -

catenin-p120 complex destabilizes actin and leads to actin remodeling, which results in the leakiness between endothelial cells.<sup>186</sup> The group further shows that the NanoEL effect differs according to both the particle size and the endothelial cell origin. AuNPs between 10 and 30 nm cause a greater NanoEL effect; human mammary- and skin-derived endothelial cells are more sensitive to AuNPs than those from the umbilical vein.<sup>185</sup> As well as  $\text{TiO}_2$  and AuNPs, nanodiamond (ND) also increases leakiness in vascular endothelial cells. ND-induced leakiness is mediated by an increase in intracellular reactive ROS and  $\text{Ca}^{2+}$ , which in turn triggers cytoskeletal remodeling of vascular endothelial cells.<sup>187</sup> These leakiness phenomena are unrelated to the EPR effect in tumors. They function on vascular endothelial cells and provide a new approach for antitumor nanomedicine delivery.

*Nanoparticles Increase the Anti-Angiogenesis Effect.* In addition to inducing leakiness of endothelial cells, NPs can function as anti-tumoral-angiogenesis reagents by inhibiting endothelial cell growth. Mesoporous silica NPs (MSNs) inhibit the proliferation, migration, invasion, and tube formation of human mammary microvascular endothelial cells (HMMEC). In addition, MSNs can be taken up by HMMEC and activated HMMEC to produce intracellular ROS that directly interfere with the p53 tumor suppressor pathway sequentially leading to the anti-angiogenesis effect.<sup>188</sup> Duan et al. also found that silica NPs could decrease expression of VEGFR and cellular adhesion molecules ICAM-1 and VCAM-1 in primary human umbilical vein endothelial cell lines (HUVECs). Moreover, down-regulation of the VEGFR2/MEK1/2/Erk1/2 and VEGFR2/PI3K/Akt signaling pathways was also noted, all of which impair angiogenesis.<sup>189</sup>

*Nanoparticles Increase the Autophagic Effect.* Following treatment with these 62 nm silica NPs, the accumulation of autophagic vacuoles in endothelial cells was seen both in vitro and in vivo. Furthermore, cytoskeleton reorganization including actin polymerization as well as mitochondrial damage were also found in HUVECs treated with silica NPs, indicating the toxicity of silica NPs.<sup>189</sup> The Shen group also

found that AuNPs can inhibit the proliferation, migration, and tube formation of HUVECs. In addition, AuNPs increase the expression of the autophagosome markers ATG5 and Beclin1 as well as the lysosome marker p62 in HUVECs, and convert the LC3-I to LC3-II, all of which suggest induction of autophagy.<sup>190</sup>

**The Interactions between Nanoparticles and Macrophages.** Once NPs have traversed the endothelial barrier and arrived at the tumor site, a large number of them are internalized by TAMs.<sup>32,191</sup> There has been considerable investigation regarding the fate of these internalized NPs.

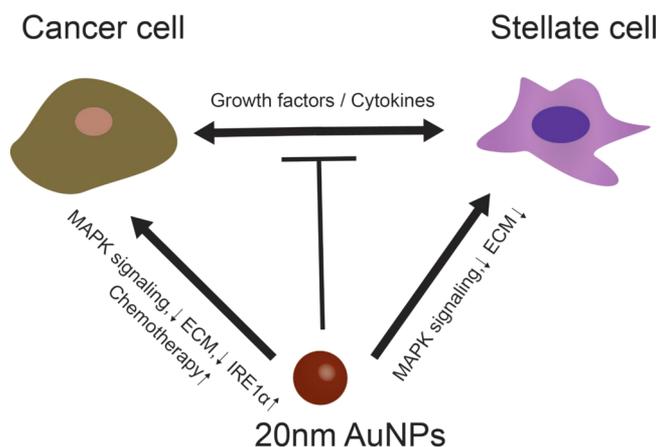
**Nanoparticles Induce M2 Macrophage Polarization to the M1 Phenotype.** As discussed above, monocytes are recruited to malignant tumors by expressing chemotactic cytokines<sup>192</sup> and are polarized to anti-inflammatory M2 phenotypes,<sup>193</sup> while in contrast, M1 macrophages retain their pro-inflammatory effect. Significant effort has been applied to either target and eliminate M2 macrophages from the TME or force M2 macrophages to polarize to the M1 phenotype.<sup>194,195</sup> Super-paramagnetic iron oxide NPs (SPION) induce a phenotypic shift in M2 macrophages toward M1, which is characterized by up-regulated CD86, TNF  $\alpha$ , ferritin, and cathepsin L.<sup>196</sup> The interaction of macrophages with the FDA-approved iron oxide nanoparticle compound ferumoxytol has also been explored.<sup>153</sup> Ferumoxytol significantly enhanced ROS and cancer cell apoptosis in a macrophage–cancer coculture system. Moreover, ferumoxytol clearly inhibits tumor growth and metastases. Other NPs also induce M2 polarization to M1, including carboxyl- and amino-functionalized polystyrene NPs,<sup>197</sup> silica NPs,<sup>198</sup> Temoporfin NPs,<sup>199</sup> and glycolyx-mimicking NPs,<sup>200</sup> among others.<sup>201</sup> Different surface modifications can affect this polarization of macrophages. Polyurethane NPs (PU NPs) can inhibit macrophage polarization toward the M1 phenotype; carboxyl modification on the surface caused greater inhibition than did amine modification. The inflammasome inhibition was mediated by PU NP-induced autophagy and NF- $\kappa$ B inactivation.<sup>202</sup>

**Nanoparticles Induce Autophagy of Macrophages.** The toxicity effects of NPs are highly complex. There are reports that at high concentrations, silica NPs induce double-membrane vacuole production in macrophages, leading to their death, while at low concentrations the same NPs induce the M2 to M1 phenotype transition. Interestingly, the autophagy induced by the NPs at low concentration protects the macrophage from death.<sup>203</sup>

The majority of the synthetic NPs circulating in the body clear readily; however, the corona formed on cationic AuNPs by the intracellular proteins in macrophages make the NPs more difficult to remove, thus resulting in NP-mediated chronic toxicity. Coating the NPs with PEG, or other surface chemistry modifications, interferes with the interaction of the AuNPs with the intracellular proteins, reduces the intracellular agglomeration, and promotes macrophage exocytosis, thus decreasing toxicity.<sup>204</sup>

**The Interactions between Nanoparticles and Cancer Associated Fibroblasts.** Cancer associated fibroblasts (CAFs) attract increasing research interest, due to their essential role in the TME. CAFs enhance the proliferation, migration, and invasion of cancer cells.<sup>205,206</sup> As nanomedicine is applied in the treatment of cancer, it is essential to understand the interaction between NPs and CAFs. We have shown that pure 20 nm gold AuNPs dose-dependently inhibit

the growth of CAFs, and blunt the enhancing effect of CAFs on proliferation, migration, and invasion of PCCs, thus slowing tumor growth. These data suggest that the AuNPs not only inhibit the proliferation of CAFs, but also prevent cross-talk between cancer cells and the CAFs (Figure 5).<sup>12</sup> Another study



**Figure 5.** NPs inhibit the cross-talk between cancer cells and CAF cells. Gold NPs (AuNPs) inhibit the proliferation of cancer cells and stellate cells individually through several signal pathways such as preventing MAPK signaling and ECM construction. Moreover, AuNPs also decrease the expression of key modulators, such as growth factors and/or cytokines from cancer cells and fibroblasts, and thereby stop cross-talk between cells. MAPK: Mitogen-activated protein kinases. ECM: extracellular matrix. IRE1 $\alpha$ : Inositol-requiring enzyme-1 $\alpha$ . This figure is conceptually adapted from the TOC in ref 12.

demonstrated that metal NPs, both silver NPs (AgNPs) and AuNPs, may influence fibroblast function by inhibiting cell migration, reorganizing the cytoskeleton, negatively modulating the deposition of molecules constituting the ECM, and altering the expression of ECM receptors.<sup>207</sup> As important components in the TME, CAFs are potential targets for cancer therapy; however, further research is necessary to fully develop this strategy.

**The Interactions between Nanoparticles and Cancer Cells.** NPs have been extensively studied both as a vector for antitumor drug delivery and for their inherent ability to enhance tumor elimination.

**Nanoparticles Induce Autophagy.** Many studies establish that NPs can directly induce autophagy. Harhaji et al. show that Nano-C60 induces autophagy in glioma cell lines, thus contributing to the cytostatic effect on cancer cells.<sup>208</sup> The Wen group further explored the chemosensitization effect of Nano-C60 in cancer cells. When cells were treated with low doses of Nano-C60, DOX, or cisplatin, there was no significant effect on the cells. However, when the three agents were combined, cell death was greatly enhanced. The authors established that this chemosensitization effect was driven by the autophagy induced by Nano-C60.<sup>209</sup> We now know that a large number of NPs induce autophagy, including rare earth oxide nanocrystals, titanium dioxide NPs,<sup>210,211</sup> quantum dots, and neodymium oxides.<sup>212,213</sup>

The physical character of the NPs is an important factor in the induction of autophagy. Size, concentration, and dispersal conditions all impact autophagy. Compared to well-dispersed NPs, aggregated NPs induce significantly greater autophagy.<sup>214</sup> Palladium NPs (PdNPs) affect autophagosome accumulation

in two ways; at low concentrations, autophagy activation is through the mTOR signaling pathway, while at high concentrations, the autophagosome accumulation is dominated by the autophagic flux blockade resulting from lysosome impairment.<sup>215</sup> Similarly, silica NPs induce autophagy at noncytotoxic levels, while they block autophagic flux at high doses.<sup>216</sup> The size and charge of NPs is another important factor in modulating the induction of autophagy. Liang et al. found that AuNPs are taken up in a size-dependent manner by normal rat kidney cells; smaller particles must aggregate for efficient uptake to occur. Moreover, positively charged 50 nm AuNPs caused more autophagosome accumulation in the cell and more significant enlargement of lysosomes than negatively charged 50 nm AuNPs did.<sup>217</sup> AgNPs showed the opposite size-dependent autophagic effect; 10 nm AgNPs enhanced autophagy induction and lysosomal activity more than either 50 or 100 nm AgNPs at noncytotoxic concentrations in human liver-derived hepatoma (HepG2) cells.<sup>218</sup> Greater research efforts are necessary to fully elucidate the interactions between NPs and cancer cells.

#### *Nanoparticles Inhibit Angiogenesis and Tumor Growth.*

The inherent functions of unadorned NPs attract increasing research efforts. Our previous data show that 20 nm AuNPs inhibit the function of pro-angiogenic heparin-binding growth factors (HB-GFs), such as vascular endothelial growth factor 165 (VEGF165) and basic fibroblast growth factor (bFGF). However, surface modification of the AuNPs with various charged ligands prevents their ability to inhibit the HB-GFs function.<sup>219</sup> The pure AuNPs also inhibited ovarian tumor growth in a mouse model by abrogating mitogen-activated protein kinase (MAPK) signaling and preventing EMT.<sup>220</sup> Furthermore, AuNPs sensitize ovarian cancer cells to the anticancer drug cisplatin by reversing EMT, down-regulating stem cell markers, and preventing Akt/NF- $\kappa$ B signaling induction by cisplatin.<sup>179</sup> In addition, AuNPs inhibit pancreatic tumor growth by impairing secretions of major hub node proteins and altering the cellular secretome through the ER-stress-regulated IRE1-dependent decay pathway.<sup>12</sup>

#### *Nanoparticles Contribute to Oxidative Stress and Cell Death.*

Oxidative stress is an imbalance between the production of reactive oxygen species (ROS, free radicals) and the antioxidant defense mechanisms of organisms. Oxidative stress contributes to cellular toxicity by inducing DNA damage, lipid peroxidation, and apoptosis, as well as activating signaling networks associated with decreased cell proliferation, fibrosis, and carcinogenesis.<sup>221,222</sup> NPs can induce ROS in three distinct ways. First, NP surface-bound radicals, for example, SiO $\cdot$  and SiO $_2\cdot$  on quartz particles, can lead to the production of ROS such as OH $\cdot$  and O $_2\cdot^-$ .<sup>223,224</sup> Second, transition metals, including iron (Fe), copper (Cu), chromium (Cr), vanadium (V), and silica (Si), can react with endogenous or exogenous H $_2$ O $_2$  to yield OH $\cdot$  and an oxidized metal ion.<sup>224</sup> Finally, internalization of NPs by cells can directly induce signal pathways associated with ROS production. These signal pathways involve nuclear factor (erythroid-derived 2)-like 2 (Nrf2) induction and the activation of the mitogen-activated protein kinase (MAPK) and nuclear factor kappa-light-chain enhancer of activated B cells (NF- $\kappa$ B) cascades. ROS may activate MAPK pathways via inhibition and/or degradation of MAPK phosphatases (MKP).<sup>225,226</sup> CuS nanoparticles produce elevated ROS levels in tumor cells following laser radiation, block the gefitinib resistance induced by insulin growth factor-1 receptor

(IGF1R) bypass activation, and down-regulate AKT/ERK/NF- $\kappa$ B signaling cascades.<sup>227</sup> This is consistent with our previously published reports regarding AuNPs; we showed that 20 nm AuNPs inhibit cisplatin/gemcitabine-induced EMT, Akt, and NF- $\kappa$ B activation, thereby sensitizing cancer cells to chemotherapy.<sup>12,179</sup>

#### *Nanoparticles Inhibit the Cross-Talk between Cancer Cells and Cancer Associated Fibroblasts/Endothelial Cells.*

The cross-talk between TME and cancer cells is essential for tumor progression. By inhibiting the secretion of growth factors, cytokines, and chemokines, or the expression of new ligands which promote cancer cell survival, growth, or metastasis, stromal cells can regulate anticancer resistance and cancer recurrence.<sup>228</sup> It has been shown that NPs function as an excellent reagent to induce autophagy in both cancer cells and CAFs, leading to decreased angiogenesis and tumor growth. AuNPs were found to prevent the cross-talk between cancer cells and CAFs. In an indirect coculture system, AuNPs decreased the enhancement effect of CAFs on PCCs' proliferation, migration, and invasion, and vice versa.<sup>12</sup> AuNP treatment also inhibits the stimulation of the fibrogenic response in pancreatic stellate cells (PSCs) by PCCs and signaling in PCCs by PSCs (Figure 5). All these data suggest that AuNPs function to prevent cross-talk between cancer cells and CAFs. We recently demonstrated that 20 nm AuNPs disrupt signal transduction from TME cells (CCs, CAFs, and ECs) to ECs and inhibit angiogenic phenotypes in vitro. When cultured with conditioned media (CM) from cells treated with AuNPs or cocultured with cells pretreated with AuNPs, both tube formation and migration of ECs were down-regulated. AuNPs removed ~95% of the VEGF165 from a VEGF single-protein solution and removed up to ~45% of VEGF165 from AuNP-treated CM.<sup>229</sup> We have also demonstrated that 20 nm AuNPs reprogram activated pancreatic cancer associated fibroblasts to quiescence. This reprogramming to quiescence was mediated via regulation of expression of lipogenic genes such as fatty acid synthase (FASN), fatty acid binding protein 3 (FABP3), sterol regulatory element binding protein 2 (SREBP2), and lipid utilization.<sup>230</sup>

## ■ CONCLUSION AND CHALLENGES

Herein we have outlined how NPs interact with the TME, and how these interactions may be harnessed for their anticancer properties. Compared with the previous Review,<sup>122</sup> here we emphasize the interaction between NPs having different physicochemical properties with the components of TME and reprogramming of TME by NPs. While nanomedicine is a promising tool for treatment of cancer, multiple issues need to be addressed in order to ensure a successful transition to the clinic. These issues include biocompatibility, pharmacokinetics, and efficient in vivo targeting. A major factor impacting these issues is the formation of the protein corona, and significant ongoing research efforts are required to address methods of either overcoming the deleterious impacts of the corona or harnessing the corona to generate positive outcomes. A further major issue is the potential toxicity of nanomaterials and associated health risks. This is of particular concern when considering inorganic nanomaterials, since they are likely to persist in the patient;<sup>231</sup> efforts to ameliorate potential toxicity should be an additional focus of nanomaterial related research. Biodegradable nanomaterials<sup>25</sup> are less likely to have serious toxicity issues, at least in the long term. Strategies to limit

toxicity may include specific surface modification of the NP or utilization of the protein corona.

Although not within the purview of this Review article, identifying new molecular targets that exploit the protein corona formation around NPs is another emerging area of research. Characterizing the proteins present in the corona may provide information about the local proteome. Thus, analysis of the corona formed from disease samples such as cancer cell lysates or conditioned media, tumor tissue lysates, or patient plasma can provide information about the disease proteome. Comparison of the protein corona derived from normal samples with those from disease samples has the potential to identify targets characteristic of the disease. Importantly, formation of the protein corona could be tailored by careful decoration of the nanoparticle surface. This notion has recently been tested in a number of studies. We have demonstrated that the corona around 10 nm AuNP differs based on the surface properties of the nanosystem.<sup>232</sup> Using this approach, we demonstrated that the hepatoma derived growth factor (HDGF) is a potential therapeutic target in ovarian cancer. Similarly, investigating the evolution of the protein corona around 20 nm AuNP, we also demonstrated that mostly basic proteins are enriched on unmodified AuNPs and no correlation was found with the molecular weights of the proteins. Using bioinformatics analysis, we identified SMNDC1, PPA1, and PI15 as potential therapeutic targets in ovarian cancer.<sup>233</sup>

In summary, modulation of the protein corona around unmodified and surface modified nanoparticles may provide unique opportunities to probe disease proteomes and identify new molecular targets responsible for disease outcome.

The past few years have witnessed a plethora of investigations to reprogram the TME in several cancers including pancreatic, ovarian, and breast cancers. These studies target components of the TME such as tumor cells, cancer associated fibroblasts, tumor endothelial cells, tumor-associated macrophages, and extracellular matrix components. However, an emerging and underrepresented area is alteration of the characteristics of the critical players in the TME via the action of NPs. This approach has recently been shown to be effective, e.g., by transforming activated fibroblasts to quiescence,<sup>230</sup> by reversing the epithelial–mesenchymal transition in tumor cells to sensitize them to chemotherapy,<sup>179</sup> and by disrupting triangular cross-talk to inhibit angiogenesis by gold nanoparticles.<sup>12,229</sup> This line of investigation will not only convert “bad” cells to “good” but also help to identify critical molecules involved in their conversion and thus unravel new molecular machineries that otherwise would remain unknown.

In conclusion, despite the various problems that need to be resolved,<sup>234</sup> nanomaterials represent a significant and extremely hopeful addition to the array of treatment options for cancer patients. Within the next few years, we anticipate that several NP-based treatments aimed at modifying the TME will have advanced to clinical trials to assess their benefit to cancer patients.

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## Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

This work was supported by National Institutes of Health Grant 1R01 CA220237-01A1, 2CA136494, CA213278 (to P.M.).

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